

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 1945 P3 USA SILICON

SERIAL NO. N/A

LIST OF ART CITED BY APPLICANT

(Use several sheets if necessary.)

APPLICANT: Shen, et al

FILING DATE: Herewith

GROUP: Unknown

U.S. PATENT DOCUMENTS

Examiner Initial		DOCKET NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>See</i>	A1	4	2	8	1	2	6	7	08/04/81	Kavel	427	38	
<i>See</i>	A2	4	4	3		2	1	9	02/21/84	Nishimatsu, et al	259	1655 R	
<i>See</i>	A3	4	4	6	5	5	1	1	08/14/84	Fukano	156	643	
<i>See</i>	A4	4	4	9	6	2	6	9	12/25/84	Hartman	156	643	
<i>See</i>	A5	4	5	0	1	9	1	4	05/05/85	Trumpp, et al	156	643	
<i>See</i>	A6	4	5	7	6	6	8	2	05/18/86	Fukuta, et al	204	165	
<i>See</i>	A7	4	7	0	5	5	8	3	11/10/87	Okudaira, et al	156	643	
<i>See</i>	A8	4	7	3	8	7	4	4	04/19/88	Kira	156	643	
<i>See</i>	A9	4	7	8	6	3	5	2	11/22/88	Benzing	156	345	
<i>See</i>	A10	4	8	1	8	3	1	6	04/04/89	Lin, et al	156	345	
<i>See</i>	A11	4	8	3	1	9	6	1	05/23/89	Sato, et al	158	723	
<i>See</i>	A12	4	8	6	5	5	6	1	08/05/89	Freeman, et al	156	646	
<i>See</i>	A13	4	8	6	7	8	4	1	09/19/89	Loewenstein, et al	156	643	
<i>See</i>	A14	4	8	7	6	2	1	2	06/24/89	Koury	437	34	
<i>See</i>	A15	4	9	7	6	1	4	4	12/04/90	Yamazaki, et al	156	643	
<i>See</i>	A17	4	9	9	4	4		6	02/19/91	Sun, et al	437	192	
<i>See</i>	A18	5	0	0	1	6	1	2	02/26/91	Loewenstein, et al	156	643	
<i>See</i>	A19	5	0	1	5	3	8	8	07/07/91	Long, et al	156	643	
<i>See</i>	A20	5	0	3	6	7	6	8	07/30/91	Mi, et al	156	626	
<i>See</i>	A21	5	0	8	4	1	1	6	07/28/92	McKee	156	345	
<i>See</i>	A22	5	0	9	4	2	1	2	05/10/92	Becker, et al	156	643	
<i>See</i>	A23	5	1	1	6	4	6	8	05/08/92	Fumi, et al	156	643	
<i>See</i>	A24	5	1	1	6	4		1	05/05/92	Long	156	656	
<i>See</i>	A25	5	1	1	8	3	8	7	06/02/92	Kadomura	156	657	
<i>See</i>	A26	5	1	5	8	6	4	4	10/27/92	Cheung, et al	156	643	
<i>See</i>	A27	5	1	6	0	4	0	7	11/03/92	Latchford, et al	156	656	
<i>See</i>	A28	5	1	6	4	3	5	0	11/17/92	Davis, et al	437	192	
<i>See</i>	A29	5	1	7	6	7	9	2	01/03/93	Fullovan, et al	156	652	
<i>See</i>	A30	5	1	8	8	9	8	0	02/23/93	Lai	437	193	

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Examiner Initial		DOCKET NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>He</i>	A31	1		9	2	7	0	9	07/06/93	Useng	437	47	
<i>He</i>	A32		2	6	7	8	3	6	08/06/93	Chang	134	1	
<i>He</i>	A33		2	8	6	2	4	5	10/26/93	Keller, et al	156	643	
<i>He</i>	A34		2	8	1	5	9	7	06/25/94	Gabrie, et al	156	643	
<i>He</i>	A35		2	8	2	8	9	9	02/01/94	Balnashonov, et al	158	723 R	
<i>He</i>	A36				2	5	1	9	06/17/94	Sakai, et al	154	1	
<i>He</i>	A37				8	6	6	8	06/07/94	Lunaki, et al	156	662	
<i>He</i>	A38				8		9	8	08/16/94	Szwedkowski, et al	156	655	
<i>He</i>	A39				4	4	1	7	10/11/94	Cheung, et al	156	643	
<i>He</i>	A40				6	4	7	8	11/18/94	Chen, et al	154	1	
<i>He</i>	A41				8	6	0	3	10/25/94	Cathey	156	656	
<i>He</i>	A42				8	5	1	7	07/03/95	Nagayama, et al	156	643	
<i>He</i>	A43				8	2	1	6	06/17/95	Hills, et al	156	643	
<i>He</i>	A44				8	9	1	9	02/14/95	Ishimaru	156	643	
<i>He</i>	A45				3	5	3	4	06/09/95	Aydil, et al	437	81	
<i>He</i>	A46				1	7	7	1	07/11/95	Rabala, et al	656	633	
<i>He</i>	A47				3	6	8	6	05/22/96	Jones, et al	256	37	
<i>He</i>	A48				9	4	1	7	05/12/96	Fukuda, et al	158	723 MP	
<i>He</i>	A49				4	6	2	2	06/07/96	Bornstein, et al	437	189	
<i>He</i>	A50				1	1	1	9	06/28/96	Chen, et al	437	187	
<i>He</i>	A51				9	1	9	7	06/25/96	Orewal	256	68	
<i>He</i>	A52				6	6	1	5	04/15/97	Keiler	438	720	
<i>He</i>	A53				6	7	7	7	07/06/97	Roberts, et al	256	67	
<i>He</i>	A54				7	1	1	7	06/11/97	Ogordim, et al	256	67	
<i>He</i>	A55				4	1	8	7	07/11/97	Keiler	257	324	
<i>He</i>	A56				3	5	3	3	06/19/98	Sato	437	192	
<i>He</i>	A57				5	6	0	6	06/26/98	Ye, et al	438	710	
<i>He</i>	A58				6	7	2	7	06/16/98	Imai, et al	438	710	
<i>He</i>	A59				8	8	7	8	08/19/98	Shang, et al	154	1	
<i>He</i>	A60				8	8	9	9	08/14/98	Steger, et al	156	345	

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GROUP: Unknown

U.S. PATENT DOCUMENTS

Examiner Initial		DOCKET NUMBER							DATE	NAME	CLASS	SUBCLASS	FILING DATE (if appropriate)
<i>See</i>	A61	5	8	1	1	0	2	2	09/02/98	Savas, et al	216	68	
<i>See</i>	A62	5	8	1	7	5	3	4	10/06/98	Ye, et al	438	10	
<i>See</i>	A63	5	8	4	3	2	3	9	12/01/98	Shrotriva	134	11	
<i>See</i>	A64	5	8	4	9	0	9	2	12/15/98	Xi, et al	134	11	
<i>See</i>	A65	5	8	6	6	4	8	3	02/02/99	Shiau, et al	438	720	
<i>See</i>	A66	5	8	6	9	4	0	1	02/09/99	Brunemeier, et al	438	710	
<i>See</i>	A67	5	8	7	4	3	6	3	02/23/99	Hoh, et al	438	721	
<i>See</i>	A68	5	8	7	9	5	7	5	03/09/99	Iepman, et al	216	68	
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EXAMINER Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Attach copy of this form with next communication to applicant.

FORM P (0-1449)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 1945 P3 USA SILICON

SERIAL NO. NA

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APPLICANT Shen et al.

ENDING DATE: 11/1/2015

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FOREIGN PATENT DOCUMENTS

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NOT BE CONSIDERED

FORM P10-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1945 P3 USA SILICON	SERIAL NO. N/A
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary.)			APPLICANT: Shen, et al	
			FILING DATE: 11/1/91 GROUP: P. 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11, 12, 13, 14, 15, 16, 17, 18, 19, 20	
OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)				
	C1	Avdil, et al. "Multiple Steady States in a Radio Frequency Chlorine Glow Discharge" <u>J. Appl. Phys.</u> Volume 69, No. 1, January 1, 1991, pages 109-114		
	C2	Hillemeier, S.J., et al. "A Symmetric Submicron CMOS Technology" <u>IEEE</u> , pages 232-237, 1987		
	C3	PCI Notification of International Search Report dated October 28, 1990		
	C4	PCI Notification of International Search Report dated February 4, 1991		
	C5			
	C6			
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EXAMINER: Initial if reference considered, whether or not citation is in conformity with art. 13, 13.1, 13.2, 13.3, 13.4, 13.5, 13.6, 13.7, 13.8, 13.9, 13.10, 13.11, 13.12, 13.13, 13.14, 13.15, 13.16, 13.17, 13.18, 13.19, 13.20, 13.21, 13.22, 13.23, 13.24, 13.25, 13.26, 13.27, 13.28, 13.29, 13.30, 13.31, 13.32, 13.33, 13.34, 13.35, 13.36, 13.37, 13.38, 13.39, 13.40, 13.41, 13.42, 13.43, 13.44, 13.45, 13.46, 13.47, 13.48, 13.49, 13.50, 13.51, 13.52, 13.53, 13.54, 13.55, 13.56, 13.57, 13.58, 13.59, 13.60, 13.61, 13.62, 13.63, 13.64, 13.65, 13.66, 13.67, 13.68, 13.69, 13.70, 13.71, 13.72, 13.73, 13.74, 13.75, 13.76, 13.77, 13.78, 13.79, 13.80, 13.81, 13.82, 13.83, 13.84, 13.85, 13.86, 13.87, 13.88, 13.89, 13.90, 13.91, 13.92, 13.93, 13.94, 13.95, 13.96, 13.97, 13.98, 13.99, 14.00, 14.01, 14.02, 14.03, 14.04, 14.05, 14.06, 14.07, 14.08, 14.09, 14.10, 14.11, 14.12, 14.13, 14.14, 14.15, 14.16, 14.17, 14.18, 14.19, 14.20, 14.21, 14.22, 14.23, 14.24, 14.25, 14.26, 14.27, 14.28, 14.29, 14.30, 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PENDING U.S. PATENT APPLICATIONS

ATTORNEY DOCKET NO.: 1945.P3/USA/SILICON
 SERIAL NUMBER: N/A
 FILING DATE: HERewith
 INVENTORS: SHEEN, ET AL

EXAMINER INITIAL		PENDING U.S. PATENT APPLICATIONS
<i>AW</i>	D1	U.S. Patent Application entitled, "Method for Improved Cleaning of Substrate Processing System"; filed July 11, 1997; Serial No. 08/893,922; Inventors: Kao, et al.
	D2	U.S. Patent Application entitled, "Apparatus for Improved Remote Microwave Plasma source for Use with Substrate Processing Systems"; filed April 23, 1997; Serial No. 08/839,111; Inventors: Kao, et al.
	D3	U.S. Patent Application entitled, "Method and Apparatus for Determining the Endpoint in a Plasma Cleaning Process"; filed July 2, 1997; Serial No. 08/887,165; Inventors: Subrahmanyam, et al.
	D4	U.S. Patent Application entitled, "Apparatus and Method for Efficient and Compact Remote Microwave Plasma Generation"; filed April 22, 1997; Serial No. 08/839,007; Inventor: Bhatnagar
	D5	U.S. Patent Application entitled, "Method and Apparatus for Pre-stabilized Plasma Generation for Microwave Clean Applications"; filed November 13, 1996; Serial No. 08/746,658; Inventors: Fong, et al.
	D6	U.S. Patent Application entitled, "Inductively Coupled HDP-CVD Reactor"; filed May 29, 1997; Serial No. 08/867,218; Inventors: Redeker, et al.
	D7	U.S. Patent Application entitled, "Symmetric Tunable Inductively Coupled HDP-CVD Reactor"; filed July 15, 1996; Serial No. 08/679,927; Inventors: Redeker, et al.
	D8	U.S. Patent Application entitled, "Apparatus and Methods for Upgraded Substrate Processing System with Microwave Plasma Source"; filed March 5, 1997; Serial No. 08/811,627; Inventors: Tanaka, et al.
<i>AW</i>	D9	U.S. Patent Application entitled, "Microwave Apparatus for In-situ Vacuum Line Cleaning for Substrate Processing Equipment"; filed October 30, 1996; Serial No. 08/741,241; Inventors: Pang, et al.
	D10	
	D11	
	D12	
	D13	
	D14	

FORM PTO-1449

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SERIAL NO. 09 867 629

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APPLICANT SHEET 1 of 1

GROUP 4765 1746

FILING DATE 2/18/00

U.S. PATENT DOCUMENTS

Examiner initials		DOCKET NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>huc</i>	AA	4	-	3	8	-	4	8		1988	GEN	75	643	
<i>huc</i>	AB	4	-	-	9	9	9	1		1989	DOCKERY	48	719	
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FOREIGN PATENT DOCUMENTS

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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

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EXAMINER: Initial reference considered whenever of record
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FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE				ATTORNEY DOCKET NO. 1945 P3 USA SILICON				SERIAL NO. 09 507 629					
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)						APPLICANT: Shen, et al									
						FILING DATE: 02/18/2000						GROUP: <u>Unknown</u>			
U.S. PATENT DOCUMENTS															
Examiner Initial		DOCKET NUMBER							DATE	NAME	CLASS	SUBCLASS	CITING DATE IF APPROPRIATE		
<i>for</i>	AA	5	7	0	0	7	4	1	12/23/97	Elio	425	723 723			
<i>for</i>	AB	6	0	9	0	7	1	8	07/18/00	Hanne, et al	425	714			
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<i>for</i>	AI	0	1	0	5	0	4	27	02/27/89	Japan				(X) Abstract only	
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<i>for</i>	AL	0	7	0	9	8	7	7	05/01/96	JP				X	
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<i>for</i>	AO	PCT Search Report dated 11/8/00													
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FORM PTO 609

DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO. 092945 USA PCT EICH SILICON JB

SERIAL NO.
0929457629

LIST OF ART CITED BY APPLICANT

(Include all citations, if necessary)

APPLICANT: Shen, et al

FILING DATE: 02/18/02

GROSS PAGE(S)

U.S. PATENT DOCUMENTS

Examiner Initial		DOCKET NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Hee</i>	AA	5		4	1	3	9	6		4/2/98	Loewenstein	152	642.1	
<i>Hee</i>	AB	5	6	5	7	8	8	6		07/29/97	Keller, et al	152	642.1	
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FOREIGN PATENT DOCUMENTS

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<i>Hee</i>	AJ	5	8	3	7	4	9	7		04/22/98	EP				
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OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

<i>Hee</i>	AO	PCT Report dated 06/22/01, European Patent Office, P.B. 5818 Patentlaan 2 NL-2280 HV Rijswijk												
<i>Hee</i>	AP	Zaleski, et al "Lungsten Silicide Polysilicon Stack Etching using Mixed Fluorine Chlorine Chemistry in a High Density Plasma Chamber", Electrochemical Society Proceedings Volume 98-1, pages 203-209												
<i>Hee</i>	AQ													

EXAMINER

DATE CONSIDERED 3/6/02

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